Docket No.: 201487/1024 (E2-001PCT-USD2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Notomi et al.	Examiner: To Be Assigned
Serial No.	:	Divisional of 10/132,561	Art Unit:
Cnfrm. No.	:	To Be Assigned	To Be Assigned
Filed	:	Herewith	
For	:	METHOD OF SYNTHESIZING NUCLEIC ACID	

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Mail Stop: Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the references listed on the attached PTO-1449 form.

Pursuant to 37 CFR § 1.98(d), the references identified on the attached PTO-1449 form are not provided, because these references either were previously cited by or submitted to the PTO in parent U.S. Patent Application Serial No. 10/132,561, filed April 24, 2002, of which this application claims priority under 35 USC § 120.

Respectfully submitted,

Date: February 19, 2004

Edwin V. Merkel

Registration No. 40,087

NIXON PEABODY LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051

Telephone: (585) 263-1128 Facsimile: (585) 263-1600

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	ider the raperwork Re	duction Ac	1 01 1993, 110 person	s are required to respond to a confection of	I information unless it contains a valid ONIB control number		
Substitut	e for form 1449A/PTO	ı		Complete if Known			
INFORMATION DISCLOSURE				Application Number	Divisional of 10/132,561		
	TEMENT BY			Filing Date	Herewith		
SIA				First Named Inventor	Notomi et al.		
	(use as many sheets	s as necesso	iry)	Art Unit	To Be Assigned		
				Examiner Name	To Be Assigned		
Sheet	1	of	2	Attorney Docket Number	201487/1024 (E2-001PCT-US)		

	U.S. PATENT DOCUMENTS										
Examiner Initials*	Cite No. ¹	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear						
	1	US-4,683,202	07/28/1987	Mullis							
	2	US-5,270,184	12/14/1993	Walker et al.							
	3	US-5,525,462	06/11/1996	Takareda et al.							
	4	US-5,595,891	01/21/1997	Rose et al.							
	5	US-5,612,199	03/18/1998	Western et al.							
	6	US-5,712,124	01/27/1998	Walker							
	7	US-5,874,260	02/23/1999	Cleuziat et al.							
	8	US-6,025,139	02/15/2000	Yager et al.							
	9	US-6,033,881	03/07/2000	Himmler et al.							
	10	US-6,410,278	06/25/2002	Notomi et al.							
		US-									
		US-									
		US-									
		US-									
		US-									
		US-									
		US-									
		US-									
		US-									
		US-									

	FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No. ¹	Foreign Patent Document Kind Code ⁵ Country Code ³ Number ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶					
	11	EP 0 971 039 A2	01/12/2000	Enzo Diagnostics							
	12	WO 99/66071	12/23/1999	Zeneca Limited							
	13	WO 96/01327	01/18/1996	Labimap S.A.							
	14	EP 0 549 107 A1	10/09/1992	Syntex (U.S.A.) Inc.							
	_										

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO			Complete if Known		
INFORMATIO	N DISC	LOSURE	Application Number		
STATEMENT BY APPLICANT			Filing Date		
•			First Named Inventor		
(use as many sl	heets as necess	ary)	Group Art Unit		
			Examiner Name		
Sheet	of		Attorney Docket Number		
		<u> </u>	<u> </u>		

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.1								
	15	Whitcombe et al., "Detection of PCT Products Using Self-Probing Amplicons and Fluorescence," Nature Biotechnology 17:804-807 (1999)							
	16	Lizardi et al., "Mutation Detection and Single-Molecule Counting Using Isothermal Rolling-Circle Amplification," Nature Genetics 19:225-232 (1998)							
	17	Stratagene Catalog, "Gene Characterization Kits," page 39 (1988)							
		·							

ı	n .			
ı	Examiner	Date		
ı	Signature	Considered	i	ļ

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known:

Substitute for form 1443/7/110							
INFORMATION DISCLOSURE				Application Number	Divisional of 10/132,561		
				Filing Date	Herewith		
STA	STATEMENT BY APPLICANT		First Named Inventor	Notomi et al.			
	(use as many sh	neets as necessi	ary)	Art Unit	To Be Assigned		
	•			Examiner Name	To Be Assigned		
Sheet	1	of	2	Attorney Docket Number	201487/1024 (E2-001PCT-US)		
							

			U.S. PATENT DO	DCUMENTS		
Examiner Initials	Cite No.1	U.S. Patent Document Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relev Passages of Relevant Figures Appe	
	1	US-4,683,202	07/28/1987	Mullis		
	2	US-5,270,184	12/14/1993	Walker et al.		
	3	US-5,525,462	06/11/1996	Takareda et al.		
	4	US-5,595,891	01/21/1997	Rose et al.		
	5	US-5,612,199	03/18/1998	Western et al.		
	6	US-5,712,124	01/27/1998	Walker		
	7	US-5,874,260	02/23/1999	Cleuziat et al.		
	8	US-6,025,139	02/15/2000	Yager et al.		
	9	US-6,033,881	03/07/2000	Himmler et al.		
	10	US-6,410,278	06/25/2002	Notomi et al.		
•		US-			•	
		US-				
		US-				
	:	US-		-2		
		US-				
		US-				
		US-				
		US-				
		US-	.]			
		US-			*	

		FC	REIGN PATENT I	DOCUMENTS		
Examiner Initials	Cite No.1	Foreign Patent Document Kind Code' Country Code' Number (if known)	Publication Date Kind Code ³ MM-DD-YYYY		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	11	EP 0 971 039 A2	01/12/2000	Enzo Diagnostics		
	12	WO 99/66071	12/23/1999	Zeneca Limited		
	13	WO 96/01327	01/18/1996	Labimap S.A.		
	14	EP 0 549 107 A1	10/09/1992	Syntex (U.S.A.) Inc.		
	1					
			:			
	1.					
	1					
•.	1.					

		 	× .			
Examiner		1 46	 	Date		٠.
Signature	-		 <u> </u>	Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222 uspto gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

T²

Ur	nder the Paperwork Redu	ction Ac	of 1995, no persons	are required to respond to a cone	etion of information	uniess it cont	alib a valid Olvi	5 control number.
Substitute for form 1449B/PTO				Complete if Known				
INFO	NFORMATION DISCLOSURE	Application Number	*					
STATEMENT BY APPLICANT			Filing Date		· ·	·	·	
			First Named Inventor					
(use as many sheets as necessary)				Group Art Unit				
			Examiner Name			8		
Sheet		of		Attorney Docket Number				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Whitcombe et al., "Detection of PCT Products Using Self-Probing Amplicons and

Lizardi et al., "Mutation Detection and Single-Molecule Counting Using Isothermal

Fluorescence," Nature Biotechnology 17:804-807 (1999)

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the

item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.

xaminer ignature		Date Considered	••
: "			· .
	·		
		4	
	\ e .		
	-		
	0	Shamgene Camiog, Gene Characterization 12th, page 35 (1500)	•
	17	Stratagene Catalog, "Gene Characterization Kits," page 39 (1988)	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Cite No.1

15

16

Examiner Initials

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.